

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Glynne et al.

Title:

CARD11 NFkB ACTIVATING
POLYPEPTIDES, NUCLEIC
ACIDS, INBRED AND
TRANSGENIC ANIMALS, ANI

TRANSGENIC ANIMALS, AND METHODS OF USE THEREOF

Appl. No.:

Unknown

Filing Date:

August 1, 2003

Examiner:

Unknown

Art Unit:

Unknown

CERTIFICATE OF EXPRESS MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450. | EL 990325775US August 1, 2003 (Express Mail Label Number) (Date of Deposit) | Taresa Spehar (Finted Name)

UTILITY PATENT APPLICATION TRANSMITTAL

Mail Stop PATENT APPLICATION Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

Richard J. Glynne 2691 Palomino Circle La Jolla, CA 92037

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[X] Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- [X] Cover Sheet, Specification, Claim(s), and Abstract (145 pages).
- [X] Formal drawings (13 sheets, Figures 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, and 13).
- [] Declaration and Power of Attorney (pages).
- [] Assignment of the invention to Phenomix Corporation.
- [] Assignment Recordation Cover Sheet.
- [] Small Entity statement.
- [] Request for application not to be published with certification under 35 USC 122(b)(2)(B)(i).
- [] Information Disclosure Statement.
- [] Form PTO/SB/08 with copies of ___ listed reference(s).
- [X] Application Data Sheet (37 CFR 1.76).
- [X] Claim for Convention Priority (in Application Data Sheet).

The filing fee is calculated below:

| | Claims | lı | ncluded in | | Extra | | | | Fee |
|--|----------|-----------|------------|--------|----------|------|------------|---|------------|
| | as Filed | Basic Fee | | Claims | | Rate | | | Totals |
| Basic Fee | | | | | | | \$750.00 | | \$750.00 |
| Total Claims: | 68 | - | 20 | = | 48 | x | \$18.00 | = | \$864.00 |
| Independen ts: | 15 | | 3 | = . | 12 | × | \$84.00 | = | \$1008.00 |
| If any Multiple Dependent Claim(s) present: + \$280.00 | | | | | | | | = | \$0.00 |
| Surcharge under 37 CFR 1.16(e) for late filing of + \$130.00 | | | | | | | | = | \$130.00 |
| | | | | | | | SUBTOTAL: | = | \$2,752.00 |
| [X] | Small | Entit | y Fees A | pply | (subtrac | ct ½ | of above): | = | \$1,376.00 |
| | | | - | • • • | TOT | AL F | ILING FEE: | = | \$1,376.00 |

[] A check in the amount of \$____ to cover the filing fee is enclosed.

- [X] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- [] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, postdated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

Teresa Spehar

Registration No. 51,281

for Richard J. Warburg Attorney for Applicant

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Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Date: August 1, 2003

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